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B/EN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Mail Stop: ISSUE FEE**  
Seiji ONISHI et al. : **Confirmation No. 7787**  
Serial No. 10/018,686 : [Group Art Unit 2652  
Filed March 25, 2002 : Examiner Michael V. Battaglia]  
**OPTICAL PICKUP DEVICE**

**RESPONSE TO ALLOWANCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Applicant hereby requests that the Information Disclosure Statement filed with the Patent Office on May 19, 2005 be considered by the Examiner. Applicant also requests that the Examiner return an initialed copy of the form PTO-1449 which was filed as part of the Information Disclosure Statement on May 19, 2005. Enclosed herewith are copies of the Patent Office Fee Transmittal Form, Information Disclosure Statement, form PTO-1449, references, and date-stamped postcard receipt as evidence that the Information Disclosure Statement was submitted.

Respectfully submitted,

Seiji ONISHI et al.

By David M. Ovedovitz  
David M. Ovedovitz  
Registration No. 45,336  
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June 22, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Seiji ONISHI et al.

Serial No. 10/018,686

Filed March 25, 2002

OPTICAL PICKUP DEVICE



: Confirmation No. 7787

: Atty Docket No. 2001\_1823A

: Group Art Unit 2652

: Examiner Michael V. Battaglia

: Mail Stop: Issue Fee

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

Sir:

Attached hereto is a check in the amount of \$180.00 to cover Patent Office fees relating to filing the following attached papers:

Information Disclosure Statement ..... \$180.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Seiji ONISHI et al.

By

David M. Ovedovitz  
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May 19, 2005

[Check No. \_\_\_\_\_]

2001\_1823A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 7787**  
Seiji ONISHI et al. : Docket No. 2001\_1823A  
Serial No. 10/018,686 : Group Art Unit 2652  
Filed March 25, 2002 : Examiner Michael V. Battaglia  
OPTICAL PICKUP DEVICE : **Mail Stop: Issue Fee**



**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☒ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☒ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☒ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☐ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

JP 11-39691 corresponds to U.S. 6,163,409

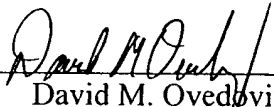
JP 11-86319 corresponds to EP 0 874 359 A2, A3

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.
6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Seiji ONISHI et al.

By   
David M. Ovedovitz  
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May 19, 2005

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
2001\_1823ASERIAL NO.  
10/018,686U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: May 19, 2005

APPLICANT  
Seiji ONISHI et al.FILING DATE  
March 25, 2002GROUP  
2652

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,163,409	12/2000	Uchiyama et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

COPY

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	11-39691	2/1999	JP				
	AK	10-21574	1/1998	JP			Partial	
	AL	0 874 359 A2	10/1998	EP				
	AM	11-86319	3/1999	JP				
	AN							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

ATTY DOCKET #: 2001\_1823A

Confirmation No. 7787

OUR REF: 2001\_1823A/DMO/01489

Applicant: Seiji ONISHI et al.

Serial No.: 10/018,686

Title: OPTICAL PICKUP DEVICE



Due Date: None

Filing Date: March 25, 2002

Receipt of the following papers is acknowledged:

Patent Office Fee Transmittal Form (in duplicate)

Information Disclosure Statement

PTO-1449, Japanese Office Action and 4 references

Check in the amount of \$180.00



Date: May 19, 2005

Attorney: DMO/jmj

[Check No. 68320]